

Detecting Pattern-Match Failures in Haskell

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www.cs.york.ac.uk/~ndm/catch



Does this code crash?

risers [] = []

risers [x] = [[x]]

risers (x:y:etc) =

if $x \leq y$ **then** (x:s) : ss **else** [x] : (s:ss)

where (s:ss) = risers (y:etc)

> risers [1,2,3,1,2] = [[1,2,3],[1,2]]

Does this code crash?

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risers [x] = [[x]]

risers (x:y:etc) =

if $x \leq y$ **then** (x:s) : ss **else** [x] : (s:ss)

where (s:ss) = risers (y:etc)

Potential crash

> risers [1,2,3,1,4] = [[1,2,3],[1,4]]

Does this code crash?

risers [] = []

risers [x] = [[x]]

risers (x:y:etc) =

if $x \leq y$ **then** (x:s) : ss **else** [x] : (s:ss)

where (s:ss) = risers (y:etc)

Property:

risers (_:_) = (_:_)

Potential crash

> risers [1,2,3,1,4] = [[1,2,3],[1,4]]

Overview

- The problem of pattern-matching
- A framework to solve patterns
- Constraint languages for the framework
- The Catch tool
- A case study: HsColour
- Conclusions

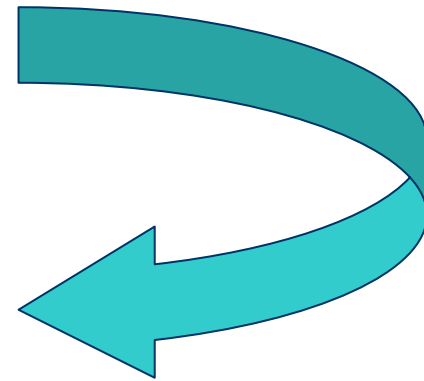
The problem of Pattern-Matching

$\text{head } (x:xs) = x$

$\text{head } x_xs = \mathbf{case } x_xs \mathbf{ of}$

$x:xs \rightarrow x$

$[] \rightarrow \text{error "head []"}$



- Problem: can we detect calls to error

Haskell programs “go wrong”

- “Well-typed programs never go wrong”
- But...
 - Incorrect result/actions – requires annotations
 - Non-termination – cannot always be fixed
 - Call error – not much research done

My Goal

- Write a tool for Haskell 98
 - GHC/Haskell is merely a front-end issue
- Check statically that error is not called
 - Conservative, corresponds to a proof
- Entirely automatic
 - No annotations

= Catch

Preconditions

- Each function has a precondition
- If the precondition to a function holds, and none of its arguments crash, it will not crash

$\text{pre}(\text{head } x) = x \in \{(:) _ _ \}$

$\text{pre}(\text{assert } x \ y) = x \in \{\text{True}\}$

$\text{pre}(\text{null } x) = \text{True}$

$\text{pre}(\text{error } x) = \text{False}$

Properties

- A property states that if a function is called with arguments satisfying a constraint, the result will satisfy a constraint

$x \in \{(:) _ _ \} \Rightarrow (\text{null } x) \in \{\text{True}\}$

$x \in \{(:) [] _ \} \Rightarrow (\text{head } x) \in \{[]\}$

$x \in \{[]\} \Rightarrow (\text{head } x) \in \{\text{True}\}$



Checking a Program (Overview)

- Start by calculating the precondition of main
 - If the precondition is True, then program is safe
- Calculate other preconditions and properties as necessary
- Preconditions and properties are defined recursively, so take the fixed point

Checking risers

risers r = **case** r **of**

[] → []

x:xs → **case** xs **of**

[] → (x:[]) : []

y:etc → **case** risers (y:etc) **of**

[] → error “pattern match”

s:ss → **case** x ≤ y **of**

True → (x:s) : ss

False → [x] : (s:ss)

Checking risers

risers r = **case** r **of**

[] → []

x:xs → **case** xs **of**

[] → (x:[]) : []

y:etc → **case** risers (y:etc) **of**

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Checking risers

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$y:etc \rightarrow \mathbf{case\ risers\ (y:etc)\ of}$

$[] \rightarrow \mathbf{error\ "pattern\ match"}$

$s:ss \rightarrow \mathbf{case\ x \leq y\ of}$

True $\rightarrow (x:s) : ss$

False $\rightarrow [x] : (s:ss)$

$r \in \{[]\} \vee$
 $xs \in \{[]\} \vee$
 $\mathbf{risers\ (y:etc) \in \{(:) _ _ \}}$

Checking risers

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$r \in \{(:) _ _ \} \vee$
 $[] \in \{(:) _ _ \}$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$\dots \vee (x:[]) : []$
 $\in \{(:) _ _ \}$

$y:\text{etc} \rightarrow \mathbf{case\ risers\ (y:\text{etc})\ of}$

$[] \rightarrow$ error "pattern match"

$\dots \vee \perp$
 $\in \{(:) _ _ \}$

$s:ss \rightarrow \mathbf{case\ x \leq y\ of}$

True $\rightarrow (x:s) : ss$

$\dots \vee (x:s) : ss$
 $\in \{(:) _ _ \}$

False $\rightarrow [x] : (s:ss)$

$\dots \vee [x] : (s:ss)$
 $\in \{(:) _ _ \}$

Checking risers

risers $r = \mathbf{case\ r\ of}$

$\square \rightarrow \square$

$r \in \{(:) _ _ \} \vee$
 $\square \in \{(:) _ _ \}$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$\dots \vee (x:\square) : \square$
 $\in \{(:) _ _ \}$

$\square \rightarrow (x:\square) : \square$

$y:etc \rightarrow \mathbf{case\ risers\ (y:etc)\ of}$

$\dots \vee \perp$
 $\in \{(:) _ _ \}$

$\square \rightarrow$ error "pattern match"

$s:ss \rightarrow \mathbf{case\ x \leq y\ of}$

$\dots \vee (x:s) : ss$
 $\in \{(:) _ _ \}$

True $\rightarrow (x:s) : ss$

False $\rightarrow [x] : (s:ss)$

$\dots \vee [x] : (s:ss)$
 $\in \{(:) _ _ \}$

Property:

$r \in \{(:) _ _ \} \Rightarrow$
risers $r \in \{(:) _ _ \}$

Checking risers

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$y:\mathit{etc} \rightarrow \mathbf{case\ risers\ (y:\mathit{etc})\ of}$

$[] \rightarrow \mathbf{error\ "pattern\ match"}$

$s:ss \rightarrow \mathbf{case\ x \leq y\ of}$

True $\rightarrow (x:s) : ss$

False $\rightarrow [x] : (s:ss)$

$r \in \{[]\} \vee$
 $xs \in \{[]\} \vee$
risers $(y:\mathit{etc}) \in \{(:) _ _ \}$

Property:

$r \in \{(:) _ _ \} \Rightarrow$
risers $r \in \{(:) _ _ \}$

Checking risers

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$y:\text{etc} \rightarrow \mathbf{case\ risers\ (y:\text{etc})\ of}$

$[] \rightarrow \mathbf{error\ "pattern\ match"}$

$s:ss \rightarrow \mathbf{case\ x \leq y\ of}$

True $\rightarrow (x:s) : ss$

False $\rightarrow [x] : (s:ss)$

$r \in \{[]\} \vee$
 $xs \in \{[]\} \vee$
 $y:\text{etc} \in \{(:) _ _ \}$

Property:

$r \in \{(:) _ _ \} \Rightarrow$
 $\text{risers } r \in \{(:) _ _ \}$

Calculating Preconditions

- Variables: $\text{pre}(x) = \text{True}$
 - Always True
- Constructors: $\text{pre}(a:b) = \text{pre}(a) \wedge \text{pre}(b)$
 - Conjunction of the children
- Function calls: $\text{pre}(f\ x) = x \in \text{pre}(f) \wedge \text{pre}(x)$
 - Conjunction of the children
 - Plus applying the preconditions of f
 - Note: precondition is recursive

Calculating Preconditions (case)

pre(case on of

$[] \rightarrow a$

$x:xs \rightarrow b)$

$= \text{pre}(\text{on}) \wedge (\text{on} \notin \{[]\} \vee \text{pre}(a))$

$\wedge (\text{on} \notin \{(:) _ _ \} \vee \text{pre}(b))$

- An alternative is safe, or is never reached

Extending Constraints (\uparrow)

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$y:\text{etc} \rightarrow \dots$

$xs \in \{(:) _ _ \} \vee \dots$
 $r \langle (:)-2 \rangle \in \{(:) _ _ \}$
 $r \in \{(:) _ ((:)) _ _ \}$

$\langle (:)-2 \rangle \uparrow \{(:) _ _ \}$
 $\{(:) _ ((:)) _ _ \}$

$\langle (:)-1 \rangle \uparrow \{\text{True}\}$
 $\{(:) \text{True} _ \}$

Splitting Constraints (\downarrow)

risers $r = \mathbf{case\ r\ of}$

$[] \rightarrow []$

$x:xs \rightarrow \mathbf{case\ xs\ of}$

$[] \rightarrow (x:[]) : []$

$y:\text{etc} \rightarrow \dots$

$(x:[]):[] \in \{(:) _ _ \} \vee \dots$
True

$((:) 1 2) \downarrow \{(:) _ _ \}$
True

$((:) 1 2) \downarrow \{[] \}$
False

$((:) 1 2) \downarrow \{(:) \text{True} [] \}$
 $1 \in \{\text{True}\} \wedge 2 \in \{[] \}$

Summary so far

- Rules for Preconditions
- How to manipulate constraints
 - Extend (\uparrow) – for locally bound variables
 - Split (\downarrow) – for constructor applications
 - Invoke properties – for function application
- Can change a constraint on expressions, to one on function arguments

Algorithm for Preconditions

set all preconditions to True
set error precondition to False
while any preconditions change
 recompute every precondition
end while

Fixed Point!

- Algorithm for properties is very similar

Fixed Point

- To ensure a fixed point exists demand only a *finite* number of possible constraints
- At each stage, (\wedge) with the previous precondition
- Ensures termination of the algorithm
 - But termination \neq useable speed!

The Basic Constraints

- These are the basic ones I have introduced
- *Not* finite – but can bound the depth
 - A little arbitrary
 - Can't represent infinite data structures
- But a nice simple introduction!

A Constraint System

- Finite number of constraints
- Extend operator (\uparrow)
- Split operator (\downarrow)
- notin creation, i.e. $x \notin \{(:) _ _ \}$
- Optional simplification rules in a predicate

Regular Expression Constraints

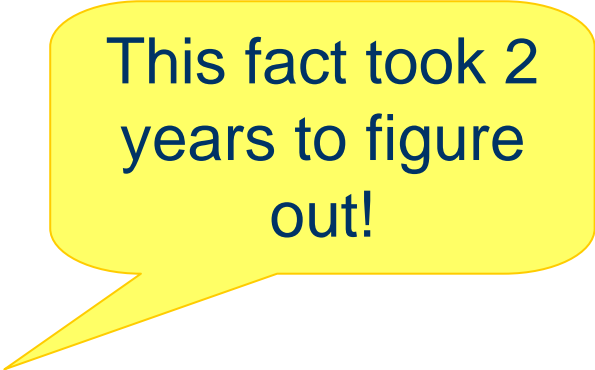
- Based on regular expressions
- $X \in r \rightarrow c$
 - r is a regular expression of paths, i.e. $\langle (:)-1 \rangle$
 - c is a set of constructors
 - True if all r paths lead to a constructor in c
- Split operator (\downarrow) is regular expression differentiation/quotient

RE-Constraint Examples

- head xs
 - $xs \in (1 \rightarrow \{:\})$
- map head xs
 - $xs \in (\langle \{:\} \rangle^{-2} \cdot \langle \{:\} \rangle^{-1} \rightarrow \{:\})$
- map head (reverse xs)
 - $xs \in (\langle \{:\} \rangle^{-2} \cdot \langle \{:\} \rangle^{-1} \rightarrow \{:\}) \vee$
 $xs \in (\langle \{:\} \rangle^{-2} \rightarrow \{:\})$

RE-Constraint Problems

- They are finite (with certain restrictions)
- But there are many of them!
- Some simplification rules
 - Quite a lot (19 so far)
 - Not complete
- In practice, too slow for moderate examples



This fact took 2 years to figure out!

Multipattern Constraints

- Idea: model the recursive and non-recursive components separately
- Given a list
 - Say something about the first element
 - Say something about all other elements
 - Cannot distinguish between element 3 and 4

MP-Constraint Examples

- head xs

- $xs \in (\{(:) _ \} * \{[], (:) _ \})$

xs must be (:)
xs.<(:)-1> must be _

All recursive tails
are unrestricted

- Use the type's to determine recursive bits

More MP-Constraint Examples

- map head xs
 - $\{[], (:) (\{(:) _ \} * \{[], (:) _ \})\} * \{[], (:) (\{(:) _ \} * \{[], (:) _ \})\}$
- An infinite list
 - $\{(:) _ \} * \{(:) _ \}$

MP-Constraint “semantics”

$MP = \{\text{set Val}\}$

$Val = _ \mid \{\text{set Pat}\} * \{\text{set Pat}\}$

Element must satisfy
at least one pattern

Each recursive part must
satisfy at least one pattern

$Pat = \text{Constructor} [(\text{non-recursive field}, MP)]$

MP-Constraint Split

- $((:) 1 2) \downarrow \{(:) _ \} * \{(:) \{True\}\}$
 - An infinite list whose elements (after the first) are all true
- $1 \in _$
- $2 \in \{(:) \{True\}\} * \{(:) \{True\}\}$

MP-Constraint Simplification

- There are 8 rules for simplification
 - Still not complete...
- But!
 - $x \in a \vee x \in b = x \in c$ union of two sets
 - $x \in a \wedge x \in b = x \in c$ cross product of two sets

MP-Constraint Currying

- We can merge all MP's on one variable
- We can curry all functions – so each has only one variable
- MP-constraint Predicate \equiv MP-constraint

(||) a b  (||) (a, b)

MP vs RE constraints

- Both have different expressive power
 - Neither is a subset/superset
- RE-constraints grow too quickly
- MP-constraints stay much smaller
- Therefore Catch uses MP-constraints

Numbers

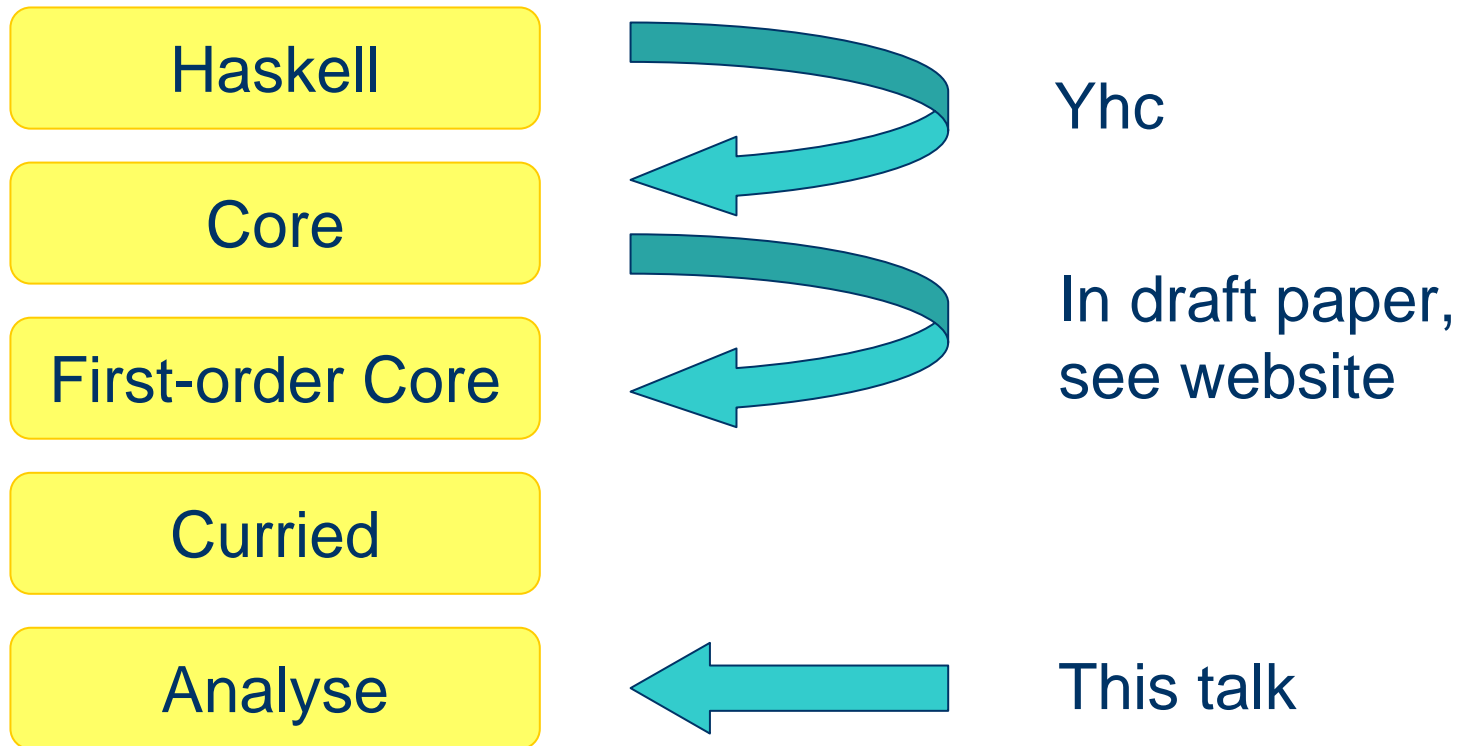
```
data Int = Neg | Zero | One | Pos
```

- Checks
 - Is positive? Is natural? Is zero?
- Operations
 - (+1), (-1)
- Work's very well in practice

Summary so far

- Rules for Preconditions and Properties
- Can manipulate constraints in terms of three operations
- MP and RE Constraints introduced
- Have picked MP-Constraints

Making a Tool (Catch)



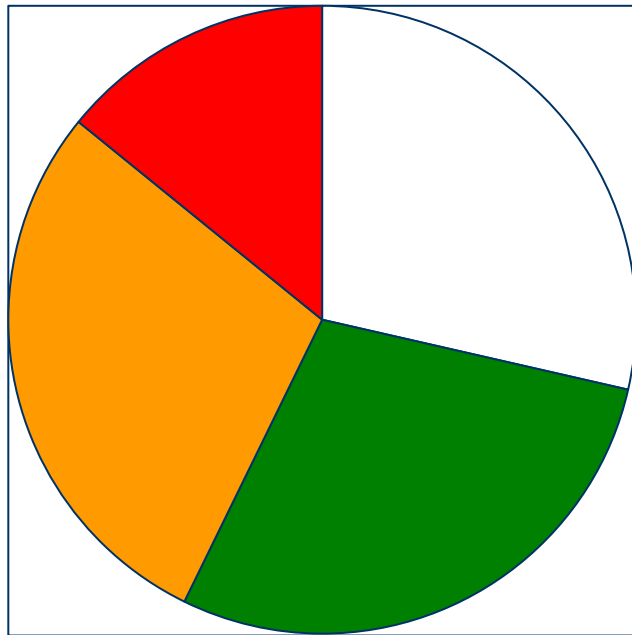
Testing Catch

- The nofib benchmark suite, but

```
main = do [arg] ← getArgs  
          print $ primes !! (read arg)
```

- Benchmarks have no real users
- Programs without real users crash

Nofib/Imaginary Results (14 tests)



- Trivially Safe
- Perfect Answer
- Good Failures
- Bad Failures

Good failure:
Did not get perfect answer,
but neither did I!

Bad Failure: Bernoulli

tail (tail x)

- Actual condition: list is at least length 2
- Inferred condition: list must be infinite

drop 2 x

Bad Failure: Paraffins

radical_generator n = f undefined

where f unused = big_memory_result

- $\text{array} :: \text{Ix } a \Rightarrow (a, a) \rightarrow [(a, b)] \rightarrow \text{Array } a \ b$
 - Each index must be in the given range
 - Array indexing also problematic

Perfect Answer: Digits of E2

e =

("2." ++)

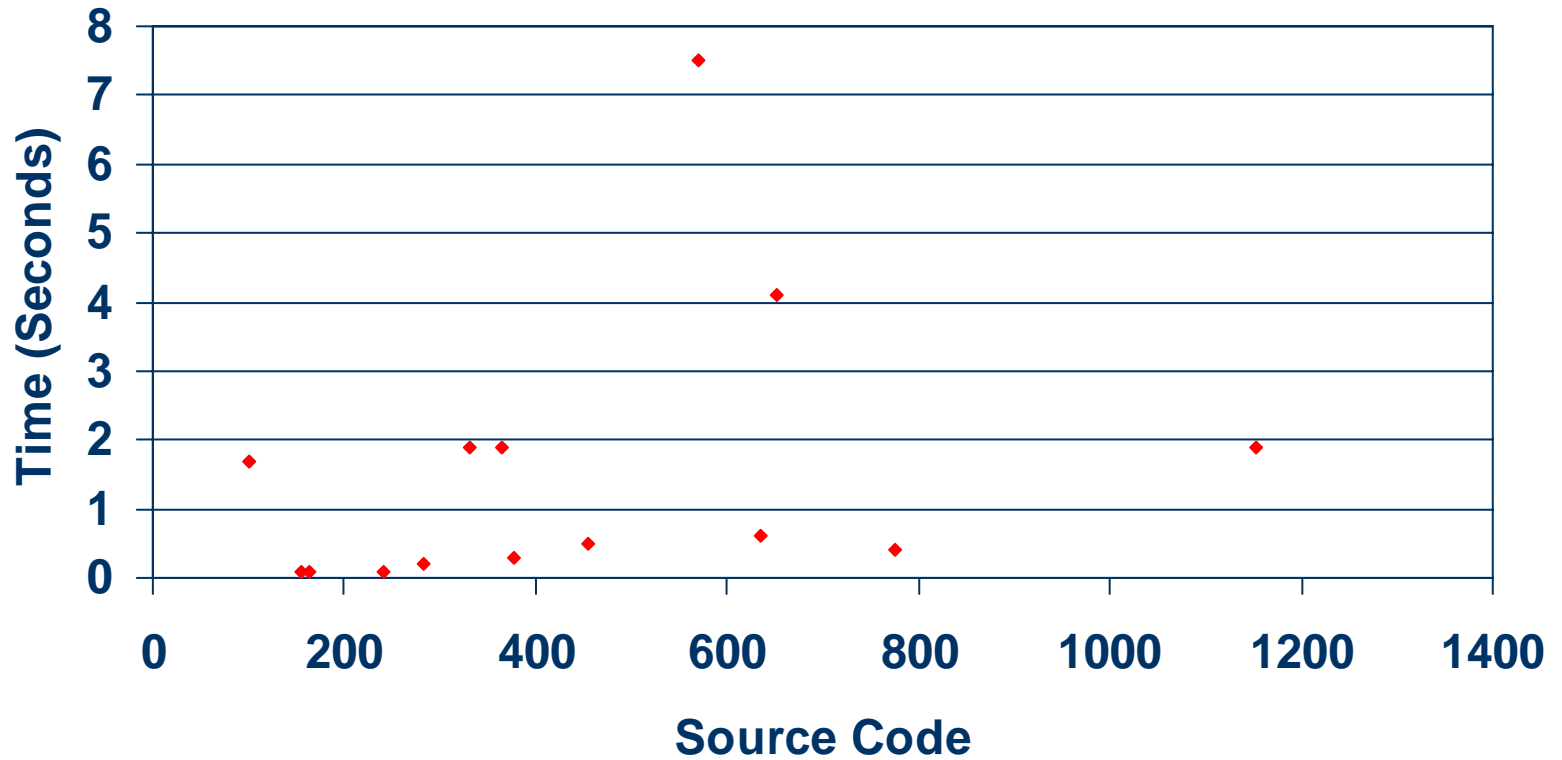
tail · concat

map (show · head)

iterate (carryPropagate 2 · map (10*)) · tail

2 : [1,1 ..]

Performance of Catch



Case Study: HsColour

- Takes Haskell source code and prints out a colourised version
- 4 years old, 6 contributors, 12 modules, 800+ lines
- Used by GHC nightly runs to generate docs
- Used online by <http://hpaste.org>

HsColour: Bug 1

FIXED

data Prefs = ... **deriving** (Read,Show)

- Uses read/show serialisation to a file
- readFile prefs, then read result
- Potential crash if the user has modified the file
- Real crash when Pref's structure changed!

HsColour: Bug 1 Catch

> Catch HsColour.hs

Check “Prelude.read: no parse”

Partial Prelude.read\$252

Partial Language.Haskell.HsColour

.Colourise.parseColourPrefs

...

Partial Main.main

Full log is recorded
All preconditions
and properties

FIXED

HsColour: Bug 2

- The latex output mode had:

```
outToken ('\":xs) = "\`" ++ init xs ++ ""
```

- file.hs: “
- hscolor -latex file.hs
- Crash

FIXED

HsColour: Bug 3

- The html anchor output mode had:
`outToken ('`:xs) = "<a>" ++ init xs ++ ""`
- file.hs: (`)
- `hscolor -html -anchor file.hs`
- Crash

CHANGED

HsColour: Problem 4

- A pattern match without a [] case
- A nice refactoring, but not a crash
- Proof was complex, distributed and fragile
 - Based on the length of comment lexemes!
- End result: HsColour cannot crash
 - Or could not at the date I checked it...
- Required 2.1 seconds, 2.7Mb

Case Study: FiniteMap library

- Over 10 years old, was a standard library
- 14 non-exhaustive patterns, 13 are safe

delFromFM (Branch key ..) del_key

| del_key > key = ...

| del_key < key = ...

| del_key ≡ key = ...

Case Study: XMonad

- Haskell Window Manager
- Central module (StackSet)
- Checked by Catch as a library



- No bugs, but suggested refactorings
- Made explicit some assumptions about Num

Catch's Failings

- Weakest Area: Yhc
 - Conversion from Haskell to Core requires Yhc
 - Can easily move to using GHC Core (once fixed)
- 2nd Weakest Area: First-order transform
 - Still working on this
 - Could use supercompilation

??-Constraints

- Could solve more complex problems
- Could retain numeric constraints precisely
- Ideally have a single normal form

- MP-constraints work well, but there is room for improvement

Alternatives to Catch

- Reach, SmallCheck – Matt Naylor, Colin R
 - Enumerative testing to some depth
- ESC/Haskell - Dana Xu
 - Precondition/postcondition checking
- Dependent types – Epigram, Cayenne
 - Push conditions into the types

Conclusions

- Pattern matching is an important area that has been overlooked
- Framework separate from constraints
 - Can replace constraints for different power
- Catch is a good step towards the solution
 - Practical tool
 - Has found real bugs

www.cs.york.ac.uk/~ndm/catch